

CDBG Subrecipient Management

Polling Questions

Session 6

6-1 Non-Compliance

1. This grantee has a problem with non-compliance. Which of the following options should it have included in the Subrecipient Agreement?
 - a) Temporarily withhold cash payments until situation corrected
 - b) Disallow all or part of the invoice
 - c) Wholly or partly suspend or terminate the subaward
 - d) Any of the above

6-2 Tracking Subrecipients

1. At what point does a subrecipient become a non-federal entity?
 - a) When it applies for Federal funds
 - b) When it is awarded Federal funds by a grantee
 - c) When it signs a Subrecipient Agreement with a grantee to use Federal funds
 - d) When it submits its first reimbursement request to a grantee
2. Which internal controls listed in §200.303 are waived for a subrecipient?
 - a) The requirement to take reasonable measures to protect personally identifiable information
 - b) The requirement to monitor its own subawards, if any
 - c) The requirement to establish its own system of internal controls
 - d) The rules listed in §200.303 apply to all non-federal entities, including subrecipients.
3. The objective of internal controls is to minimize risk for asset loss and provide for the conducting of operations in an efficient and orderly manner through policies, procedures, segregation of activities and other activities.
 - a) True
 - b) False
4. While providing technical assistance to a subrecipient should probably be considered a best practice, HUD doesn't care one way or the other whether the grantee actually provides it.
 - a) True
 - b) False
5. The grantee's interest in a subrecipient ends on the ending date of the Subrecipient Agreement, the date the last reimbursement request is submitted, or the date the subrecipient submits its final required report.
 - a) True
 - b) False