

CDBG Subrecipient Management

Polling Questions

Session 7

7-1 Risk Analysis

1. Your subrecipient operates a soup kitchen with a small staff and lots of volunteer cooks and servers. They're pretty good about getting in their reports on time and only occasionally have to be reminded to include race and gender demographics.

Factor 1 – Grant Management A. Subrecipient Reporting (6/4/0)

- a) High Risk
- b) Medium Risk
- c) Low Risk

2. Last year your financial analyst reviewed the invoices from a subrecipient and found because of an oversight by a since-departed staff member; the agency had been reimbursed for several ineligible expenses. The agency repaid over \$5,000 to clean up the books and clear a monitoring finding. Your analyst has just notified you they have sent through an invoice for a similar expense.

Factor 1 – Grant Management E. Subrecipient Findings and Sanctions (10/6/0)

- a) High Risk
- b) Medium Risk
- c) Low Risk

3. Your jurisdiction's largest CDBG subrecipient – at \$160,000 it receives more than half of your available funding – may be due for a desk monitoring, depending on how you score this item.

Factor 2 – Financial Management C. CDBG Grant Amount (12/8/0)

- a) High Risk
- b) Medium Risk
- c) Low Risk

4. You receive a call from your HUD field office. They have received a call from a person who says he was tossed out of a homeless shelter that you help fund – and for no reason. The shelter's Policies and Procedures Manual – which you have a copy of – states that anyone denied services will be notified in writing why.

Factor 3 – Services & Satisfaction A. Subrecipient or Citizen Complaints (6/2/0)

- a) High Risk
- b) Medium Risk
- c) Low Risk

5. You have just visited a subrecipient that provides Parenting classes. Because childcare is often an obstacle for parents wishing to attend, the agency provides an on-site nursery. Looking in on the nursery, you decide cleanliness doesn't seem next to godliness for the agency.

Factor 3 – Physical B. Subrecipient Responsiveness (4/2/0)

- a) High Risk
- b) Medium Risk
- c) Low Risk